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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant :	Thomas M. Kundig and John J.L. Simard	) Group Art Unit 3763
		)
App. No. :	09/776,232	)
		)
Filed :	February 02, 2001	)
		)
For :	A METHOD OF INDUCING A CTL RESPONSE	)
		)
Examiner :	Michael J. Hayes	)

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TECHNOLOGY CENTER R3700

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

United States Patent and Trademark Office  
P.O. Box 2327  
Arlington, VA 22202

Dear Sir:

Enclosed is form PTO-1449 listing two (2) references that are also enclosed. The documents include Canadian Application No. 2,180,658, which is the National Phase Application of International Publication Number WO 95/19169.

This Information Disclosure Statement is being filed before the mailing date of a final action under 37 C.F.R. § 1.113 and before the mailing date of a Notice of Allowance under § 1.311. A certification under 37 C.F.R. § 1.97(e) is set forth below. Thus, no fee is required as set forth below in 37 C.F.R. § 1.97(c).

CERTIFICATION UNDER 37 C.F.R. § 1.97(e)(1)

I hereby certify that each item of information contained in this Statement was first cited in a communication from a foreign Patent Office in a counterpart foreign application not more than 30 days prior to the filing of this Information Disclosure Statement.

Appl. No. : 09/776,232  
Filed : February 02, 2001

Respectfully submitted,

KNOBBE, MARTENS, OLSON & BEAR, LLP

Dated: 25 April 2003

By:



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 <p>U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE</p> <p>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</p> <p>(USE SEVERAL SHEETS IF NECESSARY)</p>	<p>ATTY. DOCKET NO. CTLIMM.001CP2</p>	<p>APPLICATION NO. 09/776,232</p>
	<p>APPLICANT Thomas M. Kundig and John J.L. Simard</p>	
	<p>FILING DATE February 02, 2001</p>	<p>GROUP 3763</p>

## **U.S. PATENT DOCUMENTS**

ASS	SUBCLASS	FILING DATE (IF APPROPRIATE)
<b>RECEIVED</b>		
MAY 14 2003		
<b>TECHNOLOGY CENTER R3200</b>		

## **FOREIGN PATENT DOCUMENTS**

**EXAMINER  
INITIAL**

**OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)**

2. Sedlik, C., et al., "Recombinant parvovirus-like particles as an antigen carrier: A novel nonreplicative exogenous antigen to elicit protective antiviral cytotoxic T cells." *Proc. Natl. Acad. Sci. USA*, Vol. 94, pp. 7503 – 7508 (1997)

**EXAMINER** \_\_\_\_\_ **DATE CONSIDERED** \_\_\_\_\_

**\*EXAMINER:** INITIAL IF CITATION CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP 609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED, INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.